



JC07 Rec'd PCT/PTO 22 AUG 2005 #3  
PCT

Practitioner's Docket No. 2002DE442

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Manlio Gallotti

Examiner:

Application No.: 10/537,556

Group No.:

Filed: 06/03/2005

For: QUATERNARY AMMONIUM COMPOUNDS

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ PCT or EP Search Report .PCT/EP 03/13279, Dated 04/27/2004
  - 1d. ☒ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☒ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:  
10/297,575, filed 06/26/2003, published as 20050020473, 01/27/2005

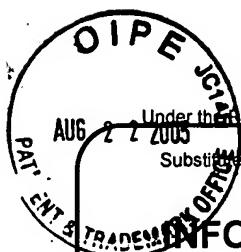
Respectfully submitted,



Richard P. Silverman, Registration No. 36,277

**CUSTOMER NO. 25,255**

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205  
Telephone: 704/331-7156, Facsimile: 704/331-7707



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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

3

**Complete if Known**

Application Number 10/537,556

Filing Date 06/03/2005

First Named Inventor Manlio Gallotti

Art Unit

Examiner Name

Attorney Docket Number 2002DE442

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 5,053,531	10/01/1991	Govindan	
	AB	US- 5,414,124	05/09/1995	Smith	
	AC	US- 5,415,812	05/16/1995	Durbut	
	AD	US- 5,718,891	02/17/1998	Prat	
	AE	US- 5,858,948	01/12/1999	Ghosh	
	AF	US- 6,017,874	01/25/2000	Lappas	
	AG	US- 6,087,314	07/11/2000	Heinzmann	
	AH	US- 5,634,969	03/06/1997	Cody	
	AI	US- 2,003,012,763	01/16/2003	Baranova	
	AJ	US- 2,005,020,473	01/27/2005	Gallotti	
	AK	US-			
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	AP	US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	BA	WO 94/07978	04/14/1994	Henkel		<input type="checkbox"/>
	BB	GB 2317391	03/25/1998	Procter & Gamble		<input type="checkbox"/>
	BC	WO 98/20092	05/14/1998	Procter & Gamble		<input type="checkbox"/>
	BD	WO 98/35004	08/13/1998	Procter & Gamble		<input type="checkbox"/>
	BE	WO 98/13449	04/02/1998	Procter & Gamble		<input type="checkbox"/>

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Sheet 2 of 3

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	AA	US-			
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
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	AK	US-			
	AL	US-			
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	AP	US-			
	AQ	US-			
	AR	US-			

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		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
	BF	WO 98/13452	04/02/1998	Procter & Gamble		<input type="checkbox"/>
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	BH					<input type="checkbox"/>
	BI					<input type="checkbox"/>
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Application Number 10/537,556

Filing Date 06/03/2005

First Named Inventor Manlio Gallotti

Art Unit

Examiner Name

Attorney Docket Number 2002DE442

Sheet 3

of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	English Language Abstract of Japanese Patent JP 58 067649, 04/22/1983, Lion AKZO KK	<input type="checkbox"/>
	CB		<input type="checkbox"/>
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	CD		<input type="checkbox"/>
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